

## AMBIENTLY UNIVERSAL SETS IN $E^n$

BY

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**ABSTRACT.** For each closed set  $X$  in  $E^n$  of dimension at most  $n - 3$ , we show that  $X$  fails to be ambiently universal with respect to Cantor sets in  $E^n$ ; i.e., we find a Cantor set  $Y$  in  $E^n$  so that for any self-homeomorphism  $h$  of  $E^n$ ,  $h(Y)$  is not contained in  $X$ . This result answers a question posed by H. G. Bothe and completes the understanding of ambiently universal sets in  $E^n$ .

**1. Introduction.** Let  $M$  and  $N$  be subsets of  $E^n$ . We say that  $M$  is *ambiently embeddable* in  $N$  if there is a homeomorphism  $h$  of  $E^n$  onto itself so that  $h(M)$  is a subset of  $N$ . Let  $F$  be a family of sets in some fixed  $E^n$  and  $U$  some fixed subset of  $E^n$ . We call  $U$  an *ambiently universal set for the family  $F$*  if each set in  $F$  is ambiently embeddable in  $U$ . For  $0 \leq m \leq n$ , a compact  $m$ -dimensional subset  $X$  in  $E^n$  is called a *compact ambiently universal  $m$ -dimensional set* if every compactum of dimension  $\leq m$  in  $E^n$  is ambiently embeddable in  $X$ .

H. G. Bothe has made an extensive study of the existence of compact ambiently universal sets in  $E^n$  [**Bo<sub>1</sub>**, **Bo<sub>2</sub>**, **Bo<sub>3</sub>**]. It seems to be well known that Bothe constructed a one-dimensional continuum in  $E^3$  [**Bo<sub>4</sub>**] similar to the McMillan-Row continuum [**M-R**]. However, it does not seem to be well known that his purpose was to exhibit a one-dimensional compactum in  $E^3$  that is not ambiently embeddable in the Menger universal curve. We give a summary of Bothe's results.

In each  $E^n$ , Bothe constructed compact  $m$ -dimensional sets  $M_n^m$ ,  $0 \leq m \leq n$ . For  $n = 2m + 1$ ,  $M_n^m$  is the Menger universal set [**H-W**, p. 64]. He then showed that  $M_n^m$  is a compact ambiently universal  $m$ -dimensional set in  $E^n$  ( $n \neq 3$ ) if and only if  $m > n - 3$ . For  $E^3$ ,  $M_3^m$  is a compact ambiently universal  $m$ -dimensional set if and only if  $m = 2$  or  $3$ . Bothe defined a condition on a set  $X$  in  $E^n$  which is now known as the dimension of embedding of  $X$  and sometimes written  $\text{dem } X$  [**Ed**]. He then showed that  $M_n^m$  is an ambiently universal set for all compact subsets  $X$  of  $E^n$  for which  $\dim X = \text{dem } X$  and  $\dim X \leq m$ . Bothe showed that there does not exist a compact ambiently universal 0-dimensional set nor a compact ambiently universal 1-dimensional set in  $E^3$ . His proof that there is no compact ambiently universal 0-dimensional set in  $E^3$  answered a question posed by R.H. Bing [**Bi<sub>1</sub>**]. Interestingly, this problem has received recent attention and a new proof by M. Starbird and his students [**S**].

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The question of the existence of compact ambiently universal  $m$ -dimensional sets in  $E^n$  for  $n > 3$  and  $m \leq n - 3$  has remained open [Bo<sub>1</sub>, p. 204] until now. Our main theorem states that for each closed set  $X$  in  $E^n$ ,  $\dim X \leq n - 3$ , there is a Cantor set  $Y$  in  $E^n$  so that  $Y$  is not ambiently embeddable in  $X$ . Hence, it is an easy corollary that there do not exist compact ambiently universal  $m$ -dimensional sets in  $E^n$ ,  $m \leq n - 3$ .

I thank John Walsh for patiently listening and for suggestions that are reflected in §7.

**2. Definitions and notation.** We use  $S^n$ ,  $B^n$ , and  $E^n$  to denote the  $n$ -sphere, the  $n$ -ball, and Euclidean  $n$ -space, respectively. We let  $\dim X$  and  $\text{diam } X$  denote the dimension of  $X$  and the diameter of  $X$ , respectively. We will assume all manifolds are PL, piecewise-linear, subsets of  $E^n$  whenever possible. We will also assume that PL subsets of  $E^n$  are in general position whenever possible. All of the homology will be done with integer coefficients. If  $M$  is a manifold we let  $\text{Bd } M$  and  $\text{Int } M$  denote the boundary and interior of  $M$ , respectively. If  $M$  is a compact orientable 2-manifold we also let  $\text{Bd } M$  denote the boundary of  $M$  oriented in a manner consistent with an orientation on  $M$ . If  $J$  is the union of a finite collection of oriented simple closed curves and  $f: J \rightarrow E^n - A$  is a map, we say  $f$  links  $A$  in case  $f$ , thought of as a 1-cycle, is not null homologous in  $E^n - A$ . If  $J \subseteq E^n - A$  and the inclusion map from  $J$  into  $E^n - A$  links  $A$ , we simply say  $J$  links  $A$ .

**3. Antoine's necklace.** We briefly review a specific construction of Antoine's necklace [A]. A *solid torus* is a topological space homeomorphic to  $B^2 \times S^1$ . Consider the embedding of four solid tori  $T_1, T_2, T_3, T_4$  in a solid torus  $T$  as shown in Figure 1. We call this embedding an Antoine embedding.

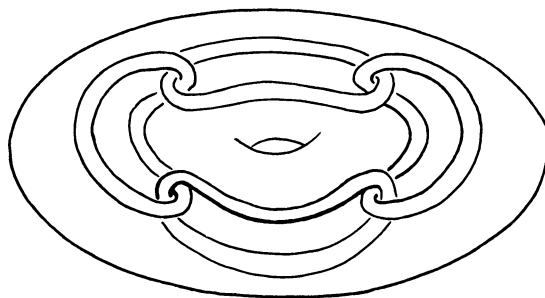


FIGURE 1

We construct Antoine's necklace, a Cantor set in  $E^3$ ,  $A = \bigcap M_i$ , where for each nonnegative integer  $i$ ,  $M_i$  is a collection of  $4^i$  disjoint solid tori. We let  $M_0$  be an unknotted solid torus in  $E^3$ . The collection  $M_{i+1}$  is obtained by taking an Antoine embedding of solid tori in each component of  $M_i$ . By exercising care so that the diameters of the components of  $M_i$  approach zero as  $i$  gets large, the set  $A = \bigcap M_i$  will be a Cantor set.

**4. I-inessential disks with holes and ramification techniques.** Let  $H$  be a disk with holes and  $f: H \rightarrow M$  a map into a manifold  $M$  so that  $f(\text{Bd } H) \subseteq \text{Bd } M$ . Following Daverman [D<sub>2</sub>] we call the map  $f$  *I-inessential* (interior inessential) if there is a map  $\tilde{f}$  from  $H$  into  $\text{Bd } M$  with  $f|_{\text{Bd } H} = \tilde{f}|_{\text{Bd } H}$ . We now state without proof a relationship between I-inessential maps and Antoine Cantor sets (see [D<sub>2</sub>] for a more detailed discussion).

**LEMMA 4.1.** *Let  $H$  be a disk with holes and  $f: H \rightarrow M$ ,  $f(\text{Bd } H) \subseteq \text{Bd } M$ , be a map where  $M$  is a component in some stage of the construction of Antoine's necklace in  $E^3$ . If  $f(H)$  misses the Cantor set, then the map  $f$  is I-inessential.*

Let  $M$  be a closed manifold. Consider the manifold  $B^2 \times M$ . For a positive integer  $m$ , choose  $m$  pairwise disjoint subdisks  $D_1, \dots, D_m$  of  $\text{Int } B^2$  and form  $m$  "parallel" copies of  $B^2 \times M$  by taking  $D_1 \times M, \dots, D_m \times M$ . We call the set  $\bigcup D_i \times M$  an  $m$ -fold ramification of  $B^2 \times M$  [D<sub>1</sub>, Ea].

Let  $\alpha = a_0, a_1, a_2, \dots$  be a sequence of positive integers. We construct a ramified Antoine's necklace with respect to  $\alpha$  as the intersection of nested manifolds  $M_0, M_1, M_2, \dots$ . The set  $M_0$  is a single unknotted solid torus in  $E^3$ . Let  $i$  be a nonnegative integer. The manifold  $M_{2i+1}$  is obtained by taking an  $a_i$ -fold ramification of each component of  $M_{2i}$ . The manifold  $M_{2i+2}$  is obtained by taking an Antoine embedding of solid tori in each component of  $M_{2i+1}$ . Exercising due care to insure that the diameters of the components get small as  $i$  gets large yields the desired ramified Antoine's necklace as the intersection of the  $M_i$ .

We call the  $M_i$  a *special defining sequence* for the ramified Antoine's necklace. Notice that in  $M_{2i}$  we can find  $4^i$  components which we designate by  $\tilde{M}_{2i}$  so that  $\tilde{M}_{2i}$  is embedded in  $M_0$  in the same manner as the  $i$ th stage of the Antoine necklace construction is embedded in the first stage.

We now give the obvious generalization of Lemma 4.1 to a ramified Antoine's necklace.

**LEMMA 4.2.** *Let  $H$  be a disk with holes and  $f: H \rightarrow M$ ,  $f(\text{Bd } H) \subseteq \text{Bd } M$ , be a map where  $M$  is a component in some stage of the construction of a ramified Antoine's necklace in  $E^3$ . If  $f(H)$  misses the Cantor set, then the map  $f$  is I-inessential.*

**5. There is no ambiently universal Cantor set in  $E^3$ .** In this section we prove our main result in  $E^3$ . Bothe [Bo<sub>2</sub>] and more recently Starbird and his students [S] have proved this theorem. Our proof uses techniques found in both of the previous proofs. This section will serve as a warm-up for the proof in higher dimensions since the strategy is similar. However, some of the techniques will be discarded when we approach the proof in higher dimensions.

**THEOREM 5.1.** *For each closed 0-dimensional set  $X$  in  $E^3$  there is a Cantor set  $Y$  so that  $Y$  is not ambiently embeddable in  $X$ .*

**PROOF.** Let  $X$  be a fixed 0-dimensional set. Let  $T_i$ ,  $i = 0, 1, 2, \dots$ , be a sequence of all unknotted PL solid tori in  $E^3$  all of whose vertices have rational coordinates. In  $T_i$  choose  $4^i + 1$  disjoint meridional disks. For each disk it is possible to find a

compact 3-manifold that contains the disk in its interior, misses all other disks, and the boundary of the 3-manifold misses  $X$ . Let  $a_i$  be a positive integer so that for any disk  $D$  of the  $4^i + 1$  meridional disks, it is possible to find a compact 3-manifold  $N$  so that  $D \subset \text{Int } N$ ,  $N$  misses the other  $4^i$  meridional disks,  $\text{Bd } N \cap X = \emptyset$ , and the number of handles in the 2-manifold  $\text{Bd } N$  is less than  $a_i$ .

Let  $Y$  be a ramified Antoine's necklace in  $E^3$  with respect to the sequence  $a_i$ , and let  $M_i$  be a special defining sequence for  $Y$ . We now show  $h(Y) \not\subset X$  for any homeomorphism  $h$ .

Suppose  $h(Y) \subset X$  for some self-homeomorphism  $h$  of  $E^3$ . Without loss of generality  $h(M_0)$  is a PL solid torus, and we suppose  $h(M_0) = T_i$ . To further simplify the proof we also suppose that  $h$  is the identity homeomorphism.

We choose the union of  $4^i$  components of  $M_{2i}$ , denoted  $\tilde{M}_{2i}$ , so that  $\tilde{M}_{2i}$  is embedded in  $M_0$  in the same manner as the  $i$ th stage of the Antoine necklace construction is embedded in the 0th stage. Now each meridional disk in  $M_0 = T_i$  must contain a meridional simple closed curve of some component of  $\tilde{M}_{2i}$ . Hence of the prechosen  $4^i + 1$  meridional disks of  $T_i$ , we can find two disks  $D_1$  and  $D_2$ , and a component  $W$  of  $\tilde{M}_{2i}$  so that  $W \cap D_1$  and  $W \cap D_2$  each contain a meridional simple closed curve of  $\text{Bd } W$ .

Let  $N$  be a compact PL 3-manifold so that  $D_1 \subset \text{Int } N$ ,  $N \cap D_2 = \emptyset$ ,  $\text{Bd } N \cap X = \emptyset$ , and the number of handles of  $\text{Bd } N$  is less than  $a_i$ . In  $\text{Bd } N$  we find a 2-manifold  $M$  such that  $\text{Bd } M$  links  $W$ . The proof of this fact is somewhat technical and we defer the proof to the end of this section.

Let  $W(1), W(2), \dots, W(a_i)$  be the components of  $M_{2i+1}$  that lie inside  $W$ . We assume that  $W(j)$  is in general position with respect to the 2-manifold  $M$ . Since the number of handles of  $M$  is less than the number of handles of  $\text{Bd } N$ , some one of the  $W(j)$ , which we designate  $W'$ , must have the property that  $W' \cap M$  is a 2-manifold with no handles; i.e., each component of  $W' \cap M$  is a disk with holes. Furthermore, since  $Y \cap M = \emptyset$ , the inclusion of each component of  $W' \cap M$  into  $W'$  is I-inessential. This implies that  $\text{Bd } M$  does not link  $W'$ . Since  $W'$  is "parallel" to  $W$ ,  $\text{Bd } M$  must link both  $W$  and  $W'$  or neither  $W$  nor  $W'$ . We are led to a contradiction from our supposition that  $h(Y) \subset X$ . We are therefore forced to conclude that  $h(Y) \not\subset X$ . Our proof is now complete with the exception of the following lemma.

**LEMMA 5.2.** *Let  $D_1$  and  $D_2$  be disks in  $E^3$ ,  $N$  a compact 3-manifold  $D_1 \subset \text{Int } N$ ,  $N \cap D_2 = \emptyset$ , and  $W$  a solid torus so that  $W \cap D_1$  and  $W \cap D_2$  each contain a meridional simple closed curve of  $\text{Bd } W$ . Then there is a 2-manifold  $M$  contained in  $\text{Bd } N$  so that  $\text{Bd } M$  links  $W$ .*

**PROOF.** Let  $\tilde{W}$  be a small regular neighborhood of  $W$  so that  $\text{Bd } \tilde{W}$  is in general position with  $\text{Bd } N$ . Let  $J_1$  and  $J_2$  be meridional simple closed curves of  $\tilde{W}$  so that  $J_1 \subset \text{Int } N$ ,  $J_2 \cap N = \emptyset$ , and  $J_1, J_2$  each bound homologically in the complement of  $\text{Bd } N$ . Choose an annulus  $A$  in  $\text{Bd } \tilde{W}$  with boundary components  $J_1$  and  $J_2$ . Let  $J$  be the collection of all simple closed curves, of  $A \cap \text{Bd } N$ . Orient the simple closed curves of  $J$  consistent with some orientation on  $A \cap N$ . Hence, as a 1-cycle,  $J$  is homologous to  $J_1$  in  $\text{Bd } \tilde{W}$ , and  $J$  links  $W$  in  $E^3$ . Using  $A \cap N$  it is easy to see that  $J$

bounds a 2-cycle in  $N$ . Similarly,  $J$  bounds a 2-cycle in closure  $(E^3 - N)$ . Therefore, a Mayer-Vietoris argument shows that  $J$  also bounds homologically in  $\text{Bd } N$ .

We now use geometric interpretations of homology theory to show the existence of the desired 2-manifold  $M$  with  $\text{Bd } M \subset J$ . We assume the  $\text{Bd } N$  has an oriented triangulation so that  $J$  is contained in the 1-skeleton. By simplicial homology theory  $J = \partial \sum \alpha_i \sigma_i$  where  $\alpha_i$  are integers and the  $\sigma_i$  are all of the finitely many oriented 2-simplexes of the triangulation of  $\text{Bd } N$ . Let  $\alpha = \max\{\alpha_i\}$  and set  $M' = \bigcup \{\sigma_i \mid \alpha_i = \alpha\}$ . One readily checks that  $M'$  is a 2-manifold,  $\text{Bd } M' \subset J$ , and  $\text{Bd } M'$  is oriented in the same manner as  $J$ . If  $\text{Bd } M'$  links  $W$ , let  $M = M'$ ; otherwise, consider  $J' = J - \text{Bd } M'$ . Then  $J'$  must link  $W$  and be null homologous in  $\text{Bd } N$ . Since  $J'$  has fewer components than  $J$ , an inductive argument on the number of components of  $J$  yields the desired manifold  $M$ .

**6. Bing Cantor sets.** R. H. Bing's proof that the sewing of two Alexander horned spheres yields  $S^3$  [Bi<sub>2</sub>] consisted in showing that a Cantor set could be described in  $E^3$  as the intersection of manifolds  $M_i$ ,  $i = 0, 1, 2, \dots$ . The manifold  $M_0$  is an unknotted solid torus. Each component of  $M_i$  is a solid torus and contains two components of  $M_{i+1}$  which are embedded as shown in Figure 2. Bing's clever proof showed that the  $M_i$  could be chosen in such a manner that the diameters of the components of  $M_i$  tend to zero as  $i$  gets large. The intersection of the  $M_i$  yields a Cantor set in  $E^3$  which we call a *Bing Cantor set*.

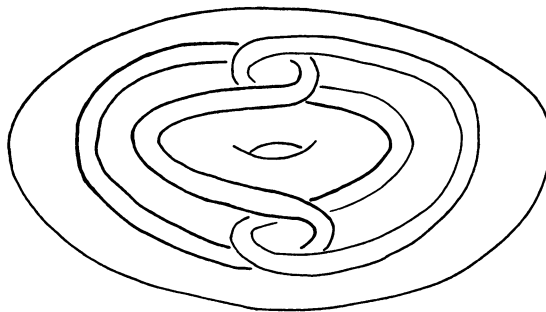


FIGURE 2

Consider the 3-cell  $A$  in  $E^3_+ = \{(x, y, z) \in E^3 \mid z \geq 0\}$  that contains two properly embedded arcs  $A_1$  and  $A_2$  as shown in Figure 3. Notice that Figure 2 can be obtained from Figure 3 by reflecting through the  $x$ - $y$  plane and thickening the resulting simple closed curves. By spinning  $E^3_+$  into  $E^n$  ( $n \geq 3$ ) [C-D] we then obtain as the spin of  $A$  a manifold  $T$  homeomorphic to  $B^2 \times S^{n-2}$  that contains the spin of  $A_1$  and the spin of  $A_2$ , two geometrically linked  $(n-2)$ -spheres, denoted by  $S_1$  and  $S_2$ , in the interior of  $T$ . Notice that there are obvious  $(n-1)$ -cells  $D_1, D_2$  in  $\text{Int } T$  so that  $S_i = \text{Bd } D_i$  ( $i = 1, 2$ ) and  $D_i \cap S_j$  [ $(i, j) = (1, 2), (2, 1)$ ] is homeomorphic to  $S^{n-3}$ . Observe that  $D_1 \cup S_2$  contains a core of  $T$  so that any map  $f: X \rightarrow E^n - (D_1 \cup S_2)$  is homotopic to a map to  $E^n - \text{Int } T$ , the homotopy fixing points in  $f^{-1}(E^n - \text{Int } T)$ . For  $n > 3$  it is also true that a map  $g: S^1 \rightarrow D_i - S_j$  [ $(i, j) = (1, 2), (2, 1)$ ] is null homotopic in  $D_i - S_j$  if and only if  $g$  does not link  $S_j$  in  $E^n$ . This last fact is not true for  $n = 3$ .

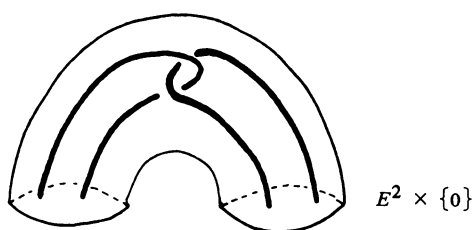


FIGURE 3

Let  $T_1$  and  $T_2$  be disjoint regular neighborhoods of  $S_1$  and  $S_2$  in  $\text{Int } T$ . We construct generalizations of the Bing Cantor set in  $E^n$  as the intersection of nested manifolds  $W_i$ ,  $i = 0, 1, 2, \dots$ . The manifold  $W_0$  is an unknotted  $B^2 \times S^{n-2}$  in  $E^n$ , and each component of  $W_i$  contains two components of  $W_{i+1}$  which are embedded in  $W_i$  in the same manner as  $T_1 \cup T_2$  is embedded in  $T$ . It is a consequence of [C-D, §8] that the  $W_i$  may be chosen so that the diameters of the components tend to zero as  $i$  gets large. We also call the intersection of the  $W_i$  a Bing Cantor set.

Of course the ramification process of §4 can be applied to Bing Cantor sets to obtain *ramified Bing Cantor sets*.

**7. The I-inessential property revisited.** Let  $H$  be a disk with holes and  $f: H \rightarrow M$ ,  $f(\text{Bd } H) \subset \text{Bd } M$ , a map where  $M$  is a component in some stage of the construction of a Bing Cantor set in  $E^n$  ( $n > 3$ ). The arguments of §4 can be generalized to show that if  $f(H)$  misses the Bing Cantor set, then the map  $f$  is I-inessential. However, we will have need of the above fact when  $H$  is a compact 2-dimensional polyhedron that behaves like a disk with holes. By a 2-dimensional polyhedron we will always mean a polyhedron that is strictly 2-dimensional, i.e., each open subset is 2-dimensional.

**DEFINITION 7.1.** Let  $P$  be a compact 2-dimensional polyhedron. For some fixed triangulation  $T$  of  $P$ , let *boundary* of  $P$  be the union of all 1-simplexes of  $T$  that are the face of exactly one 2-simplex of  $T$ . Clearly this is independent of the choice of the triangulation since we could also define the boundary to be the closure of the set  $\{x \in P \mid H_2(P, P - x) = 0\}$  as is done in defining the boundary of a homology manifold [Sp, p. 277]. We let  $\text{Bd } P$  denote the boundary of  $P$ , and we define the *interior* of  $P$ , denoted  $\text{Int } P$ , to be  $P - \text{Bd } P$ .

**DEFINITION 7.2.** Let  $Q$  be a compact 2-dimensional polyhedron. We call  $Q$  a *pseudo disk with holes* if for each  $\alpha \in H_1(Q)$  there is a nonzero integer  $m$  so that  $m\alpha$  is in the image of  $H_1(\text{Bd } Q)$  under the inclusion induced homomorphism.

**DEFINITION 7.3.** Let  $f$  be a map of a pseudo disk with holes  $Q$  into a manifold  $M$  so that  $f(\text{Bd } Q) \subseteq \text{Bd } M$ . We call the map  $f$  *I-inessential* (interior inessential) if there is a map  $\tilde{f}$  from  $Q$  into  $\text{Bd } M$  with  $\tilde{f}|_{\text{Bd } Q} = f|_{\text{Bd } Q}$ . Otherwise the map  $f$  is I-essential.

**THEOREM 7.4.** Let  $Q$  be a pseudo disk with holes. If  $P$  is a compact 2-dimensional subpolyhedron of  $Q$  so that  $\text{Int } P$  is an open subset of  $Q$ , then  $P$  is a pseudo disk with holes.

**PROOF.** Let  $\gamma$  be a 1-cycle in  $P$ . By hypothesis there is a nonzero integer  $m$  so that  $m\gamma$  is homologous in  $Q$  to a 1-cycle  $\beta$  of  $\text{Bd } Q$ . Notice that  $\text{Bd } Q \subset Q - \text{Int } P$ .

Consider the following Mayer-Vietoris sequence:

$$\rightarrow H_1(\text{Bd } P) \rightarrow H_1(P) \oplus H_1(Q - \text{Int } P) \rightarrow H_1(Q) \rightarrow .$$

Since the element represented by  $m\gamma \oplus (-\beta)$  is sent to zero, we can find a 1-cycle  $\delta$  in  $\text{Bd } P$  that is homologous to  $m\gamma$  in  $P$ .

**THEOREM 7.5.** *Let  $P$  be a compact 2-dimensional polyhedron. Suppose  $Q_1, Q_2, \dots, Q_n$  are disjoint 2-dimensional subpolyhedra with  $\text{Int } Q_i$  an open subset of  $P$  for each  $i$ . If  $n > \text{rank } H_1(P)$ , then some  $Q_i$  is a pseudo disk with holes.*

**PROOF.** Suppose each  $Q_i$  is not a pseudo disk with holes. Choose  $\gamma_i$  a 1-cycle in  $Q_i$  so that no nonzero multiple of  $\gamma_i$  represents an element of  $H_1(Q_i)$  that is the image of  $H_1(\text{Bd } Q_i)$  under the inclusion induced homomorphism. Since  $n > \text{rank } H_1(P)$ , there exist integers  $m_1, m_2, \dots, m_n$ , not all zero, so that  $m_1\gamma_1 + m_2\gamma_2 + \dots + m_n\gamma_n$  is null homologous in  $P$ . Without loss of generality, assume  $m_1 \neq 0$ . A Mayer-Vietoris argument applied to  $Q$  and  $P - \text{Int } Q_1$  shows there is a 1-cycle  $\beta$  in  $Q_1 \cap (P - \text{Int } Q_1) = \text{Bd } Q_1$  that is homologous to  $m_1\gamma_1$  in  $Q_1$ . This contradicts the choice of  $\gamma_1$  and the theorem is proved.

Let  $T, T_1, T_2, S_1, S_2, D_1, D_2$  be defined as in §6.

**THEOREM 7.6.** *Let  $f: Q \rightarrow T \subset E^n$  ( $n > 3$ ) be an I-essential map from a pseudo disk with holes so that  $f$  is in general position with respect to  $T_1 \cup T_2$ . Let  $Q_i = f^{-1}(T_i)$ ,  $i = 1, 2$ . Then  $f|_{Q_i}: Q_i \rightarrow T_i$  is an I-essential map from a pseudo disk with holes for  $i = 1$  or  $i = 2$ .*

**PROOF.** By Theorem 7.4 one easily checks that  $Q_i$  is either empty or a pseudo disk with holes for each  $i$ . If  $f|_{Q_i}$  fails to be I-essential for each  $i$ , then we may find a new map  $f_1: Q \rightarrow T - (S_1 \cup S_2)$  that agrees with  $f$  on  $\text{Bd } Q$  and is in general position with respect to the  $(n - 1)$ -cell  $D_1$ .

Let  $\Gamma = f_1^{-1}(D_1)$ . We now show  $f_1|_{\Gamma}: \Gamma \rightarrow \text{Int } D_1 - S_2$  induces the trivial homomorphism between the first homology groups. Suppose not. Then there is a 1-cycle  $\gamma$  in  $\Gamma$  so that  $f_1(\gamma)$  is a 1-cycle in  $\text{Int } D_1$  that links  $D_1 \cap S_2$ . Hence  $f_1(\gamma)$  links  $S_2$  in  $E^n$ . Since  $Q$  is a pseudo disk with holes, there is a 1-cycle  $\beta$  in  $\text{Bd } Q$  so that  $\beta$  is homologous to  $m\gamma$  in  $Q$  for some nonzero integer  $m$ . Since  $m \neq 0$ ,  $mf_1(\gamma)$  also links  $S_2$ . Because the support of  $f_1(\beta)$  is in  $\text{Bd } M$ ,  $f_1(\beta)$  does not link  $S_2$ . But this is impossible since  $f_1(\beta)$  is homologous to  $mf_1(\gamma)$  in  $E^n - S_2$ . Hence,  $f_1$  induces the trivial homomorphism on first homology.

Since the fundamental group of  $\text{Int } D_1 - S_2$  is the same as the first homology group, we find that  $f_1|_{\Gamma}: \Gamma \rightarrow \text{Int } D_1 - S_2$  is homotopic to a constant map. Using this fact and the collar structure on  $D_1$ , we can find a new map  $f_2$  of  $Q$  into  $T$  that agrees with  $f_1$  on  $\text{Bd } Q$  and misses  $D_1 \cup S_2$ . Recall that  $D_1 \cup S_2$  contains a core of  $T$ , and we can modify  $f_2$  to get a map  $f_3: Q \rightarrow \text{Bd } T$  agreeing with  $f_2$  on  $\text{Bd } Q$ . This contradicts the fact that  $f$  is I-essential, and our theorem is proved.

Theorem 7.6 and standard techniques now give our required generalization of the first paragraph of this section which we now state.

**THEOREM 7.7.** *Let  $Q$  be a pseudo disk with holes and  $f: Q \rightarrow M$ ,  $f(\text{Bd } Q) \subset \text{Bd } M$ , a map where  $M$  is a component in some stage of the construction of a (ramified) Bing Cantor set in  $E^n$  ( $n > 3$ ). If  $f(Q)$  misses the Cantor set, then the map  $f$  is I-inessential.*

**THEOREM 7.8.** *Let  $M$  be a PL  $n$ -manifold in  $E^n$  and  $f: P \rightarrow E^n$  a map from a polyhedron. Suppose  $Q = f^{-1}(M)$  is a subpolyhedron of  $P$  that is a pseudo disk with holes whose interior is open in  $P$  and  $f|Q: Q \rightarrow M$  is I-inessential. If  $\gamma$  is a 1-cycle in  $P - Q$  that bounds homologically in  $P$ , then  $f(\gamma)$  bounds homologically in  $E^n - M$ .*

**PROOF.** Since  $f|Q$  is I-inessential and  $\text{Int } Q$  is open in  $P$ , we can find a new map  $f': P \rightarrow E^n - \text{Int } T$ , agreeing with  $f$  on  $P - \text{Int } Q$ . Since  $\gamma$  bounds in  $P$ ,  $f'(\gamma)$  bounds in  $f'(P)$ . Hence  $f'(\gamma)$  bounds in  $E^n - \text{Int } T$  and, therefore, in  $E^n - T$ . But  $f(\gamma) = f'(\gamma)$  and our proof is complete.

**8. Linking Bing Cantor sets.** Let  $T, T_1, T_2, S_1, S_2, D_1, D_2$  be as defined in §6.

**THEOREM 8.1.** *Let  $f: M \rightarrow E^n$  ( $n > 3$ ) be a PL map in general position from a compact orientable 2-manifold  $M$  so that  $f| \text{Bd } M$  links  $\text{Int } T$ . Then there is a simple closed curve  $J$  in  $M$  so that  $f|J$  links either  $T_1$  or  $T_2$ .*

**PROOF.** We first consider the case where  $f(M)$  misses  $T_1 \cup T_2$ . We assume that  $f$  is in general position with respect to  $D_1$  so that  $f^{-1}(D_1)$  is a finite collection of disjoint simple closed curves in  $M$ . If  $f$  restricted to any of the simple closed curves links  $T_2$  we are done. Otherwise,  $f$  restricted to each simple closed curve is null homotopic in  $D_1 - S_2$ , and the manifold  $M$  can be surgered to obtain a new manifold  $M'$  and a map  $f': M' \rightarrow E^n$  so that  $\text{Bd } M = \text{Bd } M'$ ,  $f| \text{Bd } M = f'| \text{Bd } M'$ , and  $f'(M')$  misses  $D_1 \cup S_2$ . But this implies that  $f| \text{Bd } M = f'| \text{Bd } M'$  does not link  $\text{Int } T$  which is a contradiction.

If  $f(M)$  meets  $T_1 \cup T_2$ , we take small regular neighborhoods  $T'_1$  and  $T'_2$  of  $T_1$  and  $T_2$ , respectively, so that  $f$  is in general position with respect to  $T'_1$  and  $T'_2$ . Hence,  $f^{-1}(\text{Bd } T'_1 \cup \text{Bd } T'_2)$  is a finite collection of disjoint simple closed curves. If  $f$  restricted to any of these simple closed curves is not null homotopic in  $\text{Bd } T'_1 \cup \text{Bd } T'_2$ , we are done (requires  $n > 3$ ). If  $f$  restricted to each simple closed curve is null homotopic in  $\text{Bd } T'_1 \cup \text{Bd } T'_2$ , then  $M$  can be surgered on its interior to obtain a 2-manifold  $M'$  and a map  $f': M' \rightarrow E^n$  so that  $\text{Bd } M = \text{Bd } M'$ ,  $f|M \cap M' = f'|M \cap M'$ ,  $M' - M$  is a collection of disks in the interior of  $M'$ , and  $f'(M')$  misses  $\text{Bd } T'_1 \cup \text{Bd } T'_2$ . By ignoring any components of  $M'$  that are sent by  $f$  to  $\text{Int}(T'_1 \cup T'_2)$ , we may assume that  $f'(M')$  misses  $T_1 \cup T_2$ . By the previous case there is a simple closed curve  $J$  in  $M'$  so that  $f'|J$  links  $T_1$  or  $T_2$ . The simple closed curve can be pushed off the disks of  $M' - M$  so that  $f'|J = f|J$ , and the proof is complete.

Let  $W_0, W_1, \dots, W_m$  be nested manifolds in  $E^n$  as given in the construction of the Bing Cantor set in §6.

**THEOREM 8.2.** *Let  $A_0 \subset A_1 \subset \dots \subset A_m$  be absolute neighborhood retracts in  $E^n$  ( $n > 3$ ) so that the inclusion  $A_{i-1} \subset A_i$  induces the trivial map on first homology,  $1 \leq i \leq m$ . If  $f_0: S^1 \rightarrow A_0$  is a map that links  $W_0$  in  $E^n$ , then there is a map  $f_i: S^1 \rightarrow A_i$  that links some component of  $W_i$ .*



PROOF. The proof is by induction. Suppose  $f_i: S^1 \rightarrow A_i$  is given so that  $f_i$  links some component  $T$  of  $W_i$ . Since the inclusion from  $A_i$  into  $A_{i+1}$  is trivial on first homology, we find an orientable 2-manifold  $M$  and a map  $f: M \rightarrow A_{i+1}$  so that  $f| \text{Bd } M$  links  $T$ . Let  $T'_1, T'_2$  be small regular neighborhoods of the components  $T_1, T_2$  of  $W_{i+1}$  in  $T$ , respectively. Let  $\tilde{f}$  be a close approximation to  $f$  that is in general position, the closeness will be stipulated later. By Theorem 8.2 there is a simple closed curve  $J$  in  $M$  so that  $\tilde{f}|J$  links  $T'_1$  or  $T'_2$ . Since  $A_{i+1}$  is an absolute neighborhood retract, then  $\tilde{f}|J$  is homotopic to a map  $g: J \rightarrow A_{i+1}$ . We now assume  $\tilde{f}$  is close enough to  $f$  so that the image of the homotopy misses  $T_1 \cup T_2$ . Let  $h$  be a homeomorphism from  $S^1$  to  $J$ . Then  $f_{i+1} = g \circ h$  is the desired map.

### 9. The $n$ -dimensional theorem ( $n > 3$ ).

THEOREM 9.1. *Each closed set  $X$  in  $E^n$  ( $n > 3$ ) of dimension at most  $(n - 3)$  fails to be ambiently universal with respect to the family of Cantor sets in  $E^n$ .*

PROOF. Let  $J_0, J_1, J_2, \dots$  be the collection of all polygonal simple closed curves in  $E^n - X$  all of whose vertices have rational coordinates. For each  $i$  set  $A_0^i = J_i$  and find compact 2-dimensional polyhedra  $A_1^i \subset A_2^i \subset \dots \subset A_i^i \subset P_i$  in  $E^n - X$  so that each has boundary  $J_i$  and the inclusion from  $A_{j-1}^i$  into  $A_j^i$  and from  $A_i^i$  into  $P_i$  is trivial on first homology for all  $j, 1 \leq j \leq i$ . It may be helpful to think of  $P_i$  as a "grope" as described by J. W. Cannon [C]. The construction of  $P_i$  depends on the fact that a 1-cycle in  $E^n - X$  bounds homologically in  $E^n - X$  [H-W].

Let  $a_i = \text{rank } H_1(P_i) + 1$ . Let  $Y$  be a ramified Bing Cantor set with respect to the sequence  $a_0, a_1, a_2, \dots$ . Let  $M_0, M_1, \dots$  be a special defining sequence for  $Y$ . Recall that  $M_{2i+1}$  is obtained by taking an  $a_i$ -fold ramification of each component of  $M_{2i}$ . The manifold  $M_{2i+2}$  has two components in each component of  $M_{2i+1}$  that are embedded in the way that  $T_1 \cup T_2$  is embedded in  $T$  in §6.

We now suppose that  $h: E^n \rightarrow E^n$  is a homeomorphism so that  $h(Y) \subset X$ . We assume, for simplicity, in what follows that  $h$  is the identity homeomorphism. Some polygonal simple closed curve  $J_i$  must link  $M_0$ . In  $M_{2i}$  we find  $2^i$  components, designated  $\tilde{M}_{2i}$ , that lie in  $M_0$  in the same manner as  $W_i$  is embedded in  $W_0$  in the Bing Cantor set construction. By Theorem 8.2 there is a map  $f: S^1 \rightarrow A_i^i$  that links some component  $V$  of  $\tilde{M}_{2i}$ . Let  $V(1), V(2), \dots, V(a_i)$  be the components of  $M_{2i+1}$  in  $V$ . We assume  $P_i$  is in general position with each  $V(j)$ . For each  $j$ ,  $Q_j = V(j) \cap P_i$  is a two complex,  $\text{Bd } Q_j \subset \text{Bd } V_j$ , and  $\text{Int } Q_j$  is open in  $P_i$ . Since  $a_i > \text{rank } H_1(P_i)$ , Theorem 7.5 shows that  $Q_j$  is a pseudo disk with holes for some fixed  $j$ . Since  $Q_j$  misses  $X$  (and therefore  $Y$ ), the inclusion of  $Q_j$  into  $V(j)$  is I-inessential. Recall that the inclusion of  $A_i^i$  into  $P_i$  is trivial on first homology. Therefore, we can invoke Theorem 7.8 to see that the map  $f$  does not link  $V(j)$ . However, this implies that  $f$  does not link  $V$ , a contradiction.

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